

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
IB-1632

In Re Application Of: RONALD P. READE ET AL.

Serial No.  
09/739,391

Filing Date  
DECEMBER 15, 2000

Examiner

KENNEDY, ROBERT M.

Group Art Unit  
1765

Title: PARTICLE BEAM BIAxIAL ORIENTATION OF A SUBSTRATE FOR EPITAXIAL  
CRYSTAL GROWTH

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

OCT 2 - 2002

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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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In Re Application Of: **RONALD P. READE ET AL**



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KUNEMUND, ROBERT M.

Group Art Unit  
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Title: **PARTICLE BEAM BIAXIAL ORIENTATION OF A SUBSTRATE FOR EPITAXIAL CRYSTAL GROWTH**

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ A check in the amount of **\$180.00** is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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Dated:

**JOHN P. O'BANION, REG. NO. 33,201**  
**O'BANION & RITCHEY LLP**  
**400 CAPITOL MALL, SUITE 1550**  
**SACRAMENTO, CA 95814**  
**(916) 498-1010**

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KUNEMUND, R.

Group Art Unit

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Invention: **PARTICLE BEAM BIAxIAL ORIENTATION OF A SUBSTRATE FOR EPITAXIAL CRYSTAL GROWTH****RECEIVED****OCT 2 - 2002**

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Transmittal of Information Disclosure Statement (Page 1 &amp; 2)

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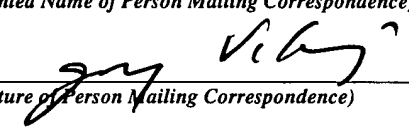
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Docket No.

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Examiner

**KUNEMUND, R.**


Group Art Unit

**1765**Invention: **PARTICLE BEAM BIAxIAL ORIENTATION OF A SUBSTRATE FOR EPITAXIAL CRYSTAL GROWTH**

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